

THE APPLICATION FORM SHOULD BE FILLED IN BLOCK LETTERS WITHIN THE BOXES ONLY



— Sundaram Finance Group —

Distributor ARN	Sub Distributor ARN	Internal Sub-Broker/Sol ID	EUIN*	Employee Code	PMRN / RIA Code	ISC Date Time Stamp Reference No.
361161			E689889			

* **Declaration for "Execution only" transaction (only where EUIN box is left blank)** ☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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1. MODE OF HOLDING			2. Existing Folio no:	(If you have an existing folio with KYC validated, please mention here and skip to Investment & Payment section)
☐ Single	☐ Joint	☐ Anyone or Survivor		
UNIT HOLDING OPTION	☐ Physical Mode		☐ Demat Mode	

Investor willing to invest in Demat option, should provide a copy of the DP Statement / Client Master List (CML) enabling us to match the Demat details as stated in the application form.

NSDL	DPID	CDSL	DPID
	Beneficiary ID		Beneficiary ID

Status	Resident Individual	Non Resident	Company	HUF	Minor	Society	FII	PFO	Partnership Firm	Proprietor	NPO	Trust	Others
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
2nd Holder	☐	☐						☐					Specify
3rd Holder	☐	☐						☐					Specify

Name				FIRST							MIDDLE								LAST			
PAN*																						
DOB/Date of Incorporation *																						
CKYC																						
KIN																						
Mobile No*																						
Email ID*																						

Guardian / PoA Name (Name as per PAN card)			FIRST							MIDDLE							LAST				
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PAN*														DOB *	D	D	M	M	Y	Y	Y	Y
CKYC																						
KIN																						

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Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Annual Report ☐ Other Statutory Information

4. INVESTMENT & PAYMENT DETAILS (Stamp Duty Applicable)

Scheme Name	Scheme-1	Scheme-2	Scheme-3
Plan	☐ Regular ☐ Direct	☐ Regular ☐ Direct	☐ Regular ☐ Direct
Option	☐ Growth ☐ Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer* *Transfer (IDCW) Target Scheme ☐ Regular Growth ☐ Direct Growth	☐ Growth ☐ Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer* *Transfer (IDCW) Target Scheme ☐ Regular Growth ☐ Direct Growth	☐ Growth ☐ Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer* *Transfer (IDCW) Target Scheme ☐ Regular Growth ☐ Direct Growth
(*If target scheme is not mentioned for Transfer (IDCW), default scheme is "Sundaram Liquid Fund and sub-option Growth") Any / each correction carried out in selecting the target scheme has to be counter-signed by the investor(s) to make it a valid selection			
Payment Mode	☐ OTM ☐ Cheque ☐ DD ☐ RTGS ☐ Fund Transfer* (*Subject to realisation)	☐ OTM ☐ Cheque ☐ DD ☐ RTGS ☐ Fund Transfer* (*Subject to realisation)	☐ OTM ☐ Cheque ☐ DD ☐ RTGS ☐ Fund Transfer* (*Subject to realisation)
Cheque / DD / Reference No & Date.			
Payment from Bank Account No.			
Drawn on Bank / Branch			
Amount (₹)	Figures Words		
Account Type	☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others.....		

5. BANK ACCOUNT DETAILS FOR PAYOUT (Mandatory to attach proof, in case the pay-out bank account below is different from the cheque issued for investment as per section 4) ☐ Same bank as per investment cheque

IFSC CODE

Bank Account No

Bank Name Bank Branch

Account Type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR Others → Please specify

6. LEGAL ENTITY IDENTIFIER (Mandatory) - (Only for Non-Individuals including HUF for transactions amounting to Rs. 50 Crores and above) Sundaram Mutual Fund - LEI Number: 335800QDGDY5PCN34581 (The LEI expires on March 20, 2029)

VALIDITY DATE OF LEI

Address of First / Sole Applicant

Town: City/District: State: PIN Code:

Overseas Address (in case of NRIs/FIIs) (Mandatory)

7. Systematic Transaction Registration Details – Please indicate details of your SIP (skip this section if you wish to make a one-time investment)

(Refer Guide to investing through SIP)

Mode of SIP ☐ OTM/NACH (please submit SIP Registration Form) Each SIP Amount ₹

SIP Period Month/Year SIP Start SIP End (Default 40 years) #:

End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

SIP Date-Any Day ☐ Daily ☐ Weekly## (Any day from Monday to Friday) ☐ Monthly ☐ Quarterly

SIP Date: SIP Frequency

Equity: • Daily* (for Minimum amount of ₹ 100, Minimum period 3 months) • Weekly## Any day from Monday to Friday. (For Minimum amount of ₹ 1000, Minimum No. of installments is 6) • Monthly (For Minimum amount of ₹ 100, minimum No. of installments is 6) • Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 6)

*SIP debits will be processed on all days including Public holidays and week ends.
Note: If the chosen date is not a business day, the SIP/STP instalment will be processed on the next business day.

STP		SWP	
Source Scheme		Scheme	
Target Scheme			
Option	☐ Fixed Amount ☐ Capital Appreciation option ^{\$}	Option	☐ Fixed Amount ☐ Capital Appreciation option ^{\$}
Amount (figures)	For fixed Amount Option	Amount (figures)	For fixed Amount Option
Frequency	☐ Daily ☐ Weekly## (Any day from Monday to Friday) ☐ Monthly ☐ Quarterly	Frequency	Monthly / Quarterly / Half-yearly / Annual.
STP Date - Any Day (for Monthly / Quarterly frequency)		SWP Date - Any Day (for Monthly / Quarterly / Half-yearly / Annual. frequency)	
STP Period	From Date To Date (including Perpetual)	SWP Period	From Date To Date

\$ Note: Capital Appreciation Option for STP/SWP can be availed only under 'Growth' Option of the eligible scheme • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday

8. OCCUPATION

	Private Sector Service	Public Sector Government Service	Housewife	Business	Professional	Agriculturist	Retired	Student	Forex Dealer	Others	Others
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
2nd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify
3rd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	Specify

GROSS ANNUAL INCOME

	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lacs	> 25 Lacs - 1 Crore	> 1 Crore	Net worth (Mandatory for Non-Individuals) - ₹	As on date							
1st Holder	☐	☐	☐	☐	☐	☐	☐	D	D	M	M	Y	Y	Y	Y
2nd Holder	☐	☐	☐	☐	☐	☐	☐	D	D	M	M	Y	Y	Y	Y
3rd Holder	☐	☐	☐	☐	☐	☐	☐	D	D	M	M	Y	Y	Y	Y

PEP & UBO Details

	I am politically exposed person		Related to PEP		Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If no, Please attach mandatory UBO declaration)		Foreign Exchange / Money Changer Services		Farming / Gambling / Lottery / Casino Services		Money Lending Pawning	
	Yes	NA	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1st Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
2nd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
3rd Holder	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

9. FATCA-CRS DETAILS For Individuals (Mandatory)

Non Individual investors & HUF should mandatorily fill separate FATCA-CRS Annexure

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
2. Is your Country of Birth/ citizenship other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

If you have answered YES to any of above, please provide the below details

Country of Tax Residence			
Nationality			
Tax Identification Number [§] or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office
City of birth			
Country of birth			

§ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

FATCA-CRS Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 238 to 240, as part of the Income Tax Rules 2026, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.** Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

§ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.



Acknowledgement

Sundaram Asset Management Company Limited, CIN: U93090TN1996PLC034615,
I & II Floor, 46 Whites Road, Chennai - 600 014. Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI)

Received From Mr./Mrs./Ms.
Communication in connection with the application should be addressed to the Registrar **KFin Technologies Limited**, Registrar and Transfer Agents,
9th Floor, Capital Towers, 180, Kodambakkam High Road, Nungambakkam, Chennai-600034. Contact No: 1860 425 7237 (India) +91 40 2345 2215 (NRI)
Please Note: All Purchases are subject to realisation of cheques / demand drafts.

Application No.

ISC's Signature & Stamp

10. Nomination Details

Note: Updation of nomination in the folio is subject to the **investor's consent** through the link sent to the mobile number or email ID registered in the KYC/folio records.

☐ **I / We wish to nominate.** (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

Mandatory Details	Particulars	Nominee 1	Nominee 2	Nominee 3
	Name of the Nominee			
	Relationship			
	Date of Birth#			
Additional Details	# Mandatory only if the nominee is minor. I/We want the details of my/our nominee to be printed in the statement of holding, provided to me/us by the AMC as follows (Please tick, as appropriate) ☐ Name of nominee(s) ☐ Nomination: Yes/No			
	Guardian Name (Optional)			
	Allocation (%)**			
	Mobile Number^			
	E-mail^			
	Identity Number***^ (Please tick any one and provide details of same)	☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number	☐ PAN ☐ Driving License Number ☐ Last 4 digits of Aadhaar ☐ Passport Number

** if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Investor can provide any one of the following as the identify number for the nominee(s). **copy of the document is not required.** • PAN • Driving License Number • Last 4 digits of Aadhaar • Passport Number

^ The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor. Please note that the MF RTA will be able to reach out to your nominee if you provide the contact details.

☐ **I / We DO NOT wish to nominate.**

Nomination Declaration: I hereby confirm that I do not wish to appoint any nominee(s) to my mutual fund folio at this point of time.

I understand that – (i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. (ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). (iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

11. Non-Profit Organization (NPO) Declaration

We are falling under "Non-Profit Organization" (NPO) which has been constituted for religious or charitable purposes referred to in clause (23) of section 2 of the Income-tax Act, 2025, and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). ☐ Yes ☐ No

If yes, please quote Registration No. of Darpan portal of Niti Aayog

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date. • declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. • hereby apply for units under the scheme(s) as indicated in the application form. • agree to abide by the terms, conditions, rules and regulations of the scheme(s). • agree to the terms and conditions for OTM/NACH. • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of USA/Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Certification: I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same.

I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes. or in respect of any other information as may be required under applicable tax laws.

Stamp Duty: Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including reinvestment IDCW and switch-in) to the Unit holders would be reduced to that extent.

☐ **(Applicable only for investments through RIA) RIA/PMRN Consent Declaration:**

I/We, the above-named person(s) have invested in the Scheme(s) of Sundaram Mutual Fund under Direct Plan under the above mentioned Account No(s)/Folio No(s). I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the below mentioned Mutual Fund Distributor/SEBI-Registered Investment Advisor/Portfolio Manager:

AMFI Registration Number ARN -	SEBI Registration No.
Name:	
Address	
City	PIN
E-Mail ID	Tel.No

Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant

Signature of all the joint holders are required irrespective of mode of holding. Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature. Kindly fill the separate nomination form.

Particulars				
Scheme Name / Plan / Option / Sub-option	Goal	Cheque / DD / Payment Instrument Number / Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words
	☐ Lumpsum Purchase ☐ SIP			

Following fields need to be filled mandatorily

1. Date: In format DD/MM/YYYY
2. Bank A/c Type: Tick the relevant box
3. Fill Bank Account Number
4. Fill name of Destination Bank
5. IFSC / MICR code: Fill respective code
6. Mention Maximum Amount
7. Reference 1: Mention URN Number
8. Reference 2: Mention Folio/Application Number
9. Period: Starting date and the ending date of NACH registration (not more than 40 years) in the format (DD/MM/YYYY)
10. Signature as per bank account
11. Name: Mention Holder Name as Per Bank Record

Other Instructions

- NACH/OTM Bank Mandate can be used for both SIP and Lump Sum Purchase.
- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the NACH/OTM bank account has the adequate funds to honor multiple debits
- NACH/OTM is applicable for both Individual and Non-Individual
- Registration of Multiple NACH/OTM forms is acceptable with different Bank and Accounts.
- Investors are requested to note that Turn Around Time(TAT) for SIP registration with new NACH /OTM will be 21 days and in case of SIP registration with existing NACH/OTM, the SIP registration TAT will be 15 days.
- Per transaction limit should be less than or equal to the amount as mentioned in NACH/OTM Form already registered or submitted, if not registered

- Investors are required to submit "NACH/OTM" registration first and only after successful registration an existing "NACH" associated with a SIP can be cancelled.
- SIP amount will not be debited if NACH/OTM registration is under process/unregistered
- NACH/OTM request will be accepted only if the "Bank" mentioned in the request form is listed in the NACH banks list. Please contact offices of Sundaram Asset Management Company for updated list of banks eligible for NACH/OTM Facility.
- Submitting NACH/OTM form does not confirm your investments in SMF unless supported by SIP Investment Form or Common Transaction forms
- Sundaram Mutual will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor.
- For other Terms and Conditions governing NACH/OTM payments please refer KIM or www.sundarammutual.com
- NACH/OTM is applicable only for investments via debit instructions
- By submitting the NACH/OTM the investor authorizes Sundaram Mutual to utilize the information provided herein for the purpose of his/her investments in Sundaram Mutual Fund, including creation of a folio
- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents

Please tick ☒ ☐ SIP Registration ☐ SIP with Top-up Registration

CKYC compliant ☐ Yes ☐ No (if no, please provide CKYC proof/additional documents if not submitted earlier)

1 **Scheme Name** **Plan:**..... **Option:**.....

SIP Installment Amount (₹).....

SIP Date:..... (for Monthly and Quarterly frequency).

Frequency: ☐ Daily ~ ☐ Weekly^{##} (Any day from Monday to Friday) ☐ Monthly* ☐ Quarterly

Start Month/Year: M | M | Y | Y | Y | Y **End Month/Year (Default 40 years):[#]:** M | M | Y | Y | Y | Y

SIP Top Up amount[^] (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency[^]** ☐ Half-yearly ☐ Yearly

~ SIP debits will be processed on all days including Public holidays and week ends. • *Default frequency; ^Top-up is applicable for SIPs under Monthly & Quarterly Frequencies. • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday • # End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

Bank Name

Cheque No

Dated

2 **Scheme Name** **Plan:**..... **Option:**.....

SIP Installment Amount (₹).....

SIP Date:..... (for Monthly and Quarterly frequency).

Frequency: ☐ Daily ~ ☐ Weekly^{##} (Any day from Monday to Friday) ☐ Monthly* ☐ Quarterly

Start Month/Year: M | M | Y | Y | Y | Y **End Month/Year (Default 40 years):[#]:** M | M | Y | Y | Y | Y

SIP Top Up amount[^] (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency[^]** ☐ Half-yearly ☐ Yearly

~ SIP debits will be processed on all days including Public holidays and week ends. • *Default frequency; ^Top-up is applicable for SIPs under Monthly & Quarterly Frequencies. • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday • # End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

Bank Name

Cheque No

Dated

3 **Scheme Name** **Plan:**..... **Option:**.....

SIP Installment Amount (₹).....

SIP Date:..... (for Monthly and Quarterly frequency).

Frequency: ☐ Daily ~ ☐ Weekly^{##} (Any day from Monday to Friday) ☐ Monthly* ☐ Quarterly

Start Month/Year: M | M | Y | Y | Y | Y **End Month/Year (Default 40 years):[#]:** M | M | Y | Y | Y | Y

SIP Top Up amount[^] (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency[^]** ☐ Half-yearly ☐ Yearly

~ SIP debits will be processed on all days including Public holidays and week ends. • *Default frequency; ^Top-up is applicable for SIPs under Monthly & Quarterly Frequencies. • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday • # End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

Bank Name

Cheque No

Dated

Please tick ☒ ☐ SIP Registration ☐ SIP with Top-up Registration

CKYC compliant ☐ Yes ☐ No (if no, please provide CKYC proof/additional documents if not submitted earlier)

4 Scheme Name Plan:.....Option:.....

SIP Installment Amount (₹).....

SIP Date:..... (for Monthly and Quarterly frequency).

Frequency: ☐ Daily ☐ Weekly^{##} (Any day from Monday to Friday) ☐ Monthly* ☐ Quarterly

Start Month/Year: |M|M|Y|Y|Y|Y| End Month/Year (Default 40 years)[#]: |M|M|Y|Y|Y|Y|

SIP Top Up amount[^] (Optional): ₹ (in multiples of ₹ 500/-) Top-up Frequency[^] ☐ Half-yearly ☐ Yearly

≈ SIP debits will be processed on all days including Public holidays and week ends. • *Default frequency; ^Top-up is applicable for SIPs under Monthly & Quarterly Frequencies. • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday • # End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

Bank Name

Cheque No

Dated

5 Scheme Name Plan:.....Option:.....

SIP Installment Amount (₹).....

SIP Date:..... (for Monthly and Quarterly frequency).

Frequency: ☐ Daily ☐ Weekly^{##} (Any day from Monday to Friday) ☐ Monthly* ☐ Quarterly

Start Month/Year: |M|M|Y|Y|Y|Y| End Month/Year (Default 40 years)[#]: |M|M|Y|Y|Y|Y|

SIP Top Up amount[^] (Optional): ₹ (in multiples of ₹ 500/-) Top-up Frequency[^] ☐ Half-yearly ☐ Yearly

≈ SIP debits will be processed on all days including Public holidays and week ends. • *Default frequency; ^Top-up is applicable for SIPs under Monthly & Quarterly Frequencies. • ## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday • # End date should be less than or equal to 40 years from the application date or equal to end date of NACH period

Bank Name

Cheque No

Dated

DEMAT Account Details		(Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form)	
☐ National Securities Depository Ltd.	Depository Participant		
☐ Central Depository Services (India)	DP ID Number		Beneficiary Account Number

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for NACH/OTM • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signatures [as per Mutual Fund Records / Application]

First Unit Holder's / Guardian Signature	Second Unit Holder's Signature	Third Unit Holder's Signature
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General Instructions

1. This facility is offered to investors having Bank accounts in select banks mentioned in our website. The Banks in the list may be modified/updated/ changed/removed at any time in future entirely at the discretion of Sundaram Asset Management Ltd ("SAMC"), Sundaram Trustee Company Ltd. ("Trustee") or Sundaram Mutual Fund ("SMF") without assigning any reasons or prior notice. SIP instructions for investors in such Banks via NACH route will be discontinued.
2. The AMC/ Trustee/ SMF will not be liable for any transaction failures due to rejection by the investors bank/branch.
3. SIP through NACH/OTM Facility is available on all dates of the month. In case these days are non-business days for the scheme, then SIP will be processed on the next business day.
4. The end date of SIP registration for unitholders (other than Minor holders) will be considered as the end date of NACH mandate or the end date mentioned by the investor whichever is earlier
5. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
6. Investors are requested to note that Turn Around Time(TAT) for SIP registration with new NACH /OTM will be 21 days and in case of SIP registration with existing NACH/OTM, the SIP registration TAT will be 15 days.
7. Investor will not hold AMC / Trustee / SMF and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
8. The AMC/ Trustee/ SMF reserves the right to reverse allotments in case the NACH/OTM is rejected by the bank for any reason whatsoever.
9. SIP amount will not be debited if NACH/OTM registration is under process/unregistered
10. The AMC/ Trustee/ SMF shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the facility of NACH/OTM and takes full responsibility for the same.
11. The AMC/Trustee reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
12. The AMC/ Trustee reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.
13. For scheme related details, please refer to the Scheme Information Document (SID) / Key Information Memorandum (KIM) and the addendum issued from time to time.
14. The AMC/ Trustee reserves the right to reject any application without assigning any reason thereof.
15. SIP cancellation can be done separately by submitting the request at least 21 Calendar days in advance; however the associated NACH mandate can be retained for future investments.
16. Requests for any changes / cancellation in the NACH Bank Mandate request should be submitted at least 21 Business days in advance.
17. Where a onetime mandate is already registered in a folio for a bank account, the Unit Holder(s) will have to fill only the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.
- 18 **SIP Frequency**
 - Daily* (for Minimum amount of ₹ 100, Minimum period 3 months)
 - Weekly## Any day from Monday to Friday. (For Minimum amount of ₹ 1000, Minimum No. of installments is 6)
 - Monthly (For Minimum amount of ₹ 100, minimum No. of installments is 6)
 - Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 6)

* SIP debits will be processed on all days including Public holiday and week ends. In case of SIP falling under Non business days, the same will be processed on next business day based on credit receipt.

For weekly SIP Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday.
19. SIP default date is 7th of every month, if no date is mentioned
20. **Any Day SIP:** Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.
- 21 **The following applications will be considered as 'Not In Good Order' (NIGO) and are liable to be rejected:**
 - If folio number mentioned in the Fresh / Additional Purchase, SIP NACH/OTM form, does not match Folio Number mentioned in NACH/OTM registration mandate Form.
 - If the folio number mentioned in the NACH/OTM mandate registration form does not match with our record, the NACH/OTM mandate will not be registered.
 - If the SIP period mentioned in SIP via NACH/OTM form is beyond the NACH/OTM validity period or NACH/OTM validity period expired.
22. In case of minor application, AMC will register standing instructions till the date of minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to 'major'. The account shall be frozen for operation by the guardian on the day of minor attains the age of majority and no fresh transactions will be permitted till the documents for changing the status are received.
23. **Frequency for Top-up SIP**
 - i. Investors subscribing for this facility are required to submit the request at least 21 days prior to the SIP top up date.
 - ii. SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the frequency is not specified, the top up will be processed with the default yearly frequency.
 - iii. For Monthly SIP
 - a. Half yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 6th (sixth) SIP instalment.
 - b. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 12th (twelfth) SIP instalment.
 - iv. Quarterly SIP
 - a. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 4th (fourth) SIP instalment. In case the investor who has registered under Quarterly SIP opts for Half yearly Top-up SIP the same shall be registered and processed as Yearly Top-up SIP.
 - v. The top up cap amount should not exceed the maximum amount as mentioned in the NACH mandate. In case the top up cap amount exceeds the maximum amount as mentioned in the NACH mandate, then the lesser amount shall be considered as the default cap amount.
 - vi. The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and for a fresh SIP with Top-up option.
 - vii. In case the SIP top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date.
24. **Stamp Duty:** Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including IDCW reinvestment and switch-in) to the Unit holders would be reduced to that extent.



SUNDARAM MUTUAL
— Sundaram Finance Group —

Application Form-Systematic Transfer Plan

To be submitted mandatorily: 1. Your FATCA (Foreign Account Tax Compliance Act) Details (if not already submitted) and 2. Ultimate Beneficial Owner (UBO) information (for non-individuals only) which can be downloaded from our website.

Distributor's ARN & Name 361161	Sub-broker's ARN (Code)	Sub-broker Code (internal)	EUIIN* (Employee Unique Identification Number) E689889	Registered Investment Advisor (RIA) Code	Employee Code	For Office use only
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☐ I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Name of First/Sole Applicant (Name as per PAN card)		Folio No	Sole/First Applicants Signature Mandatory	
FIRST MIDDLE LAST		DOB/Date of Incorporation*	D D M M Y Y Y Y	
E-Mail*		Mobile*		
*Please tick the Family Code for the Mobile Number and Email ID provided Email: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Annual Report ☐ Other Statutory Information				
Name of Second Applicant (Name as per PAN card)				
FIRST MIDDLE LAST		DOB* D D M M Y Y Y Y		
Name of Third Applicant (Name as per PAN card)				
FIRST MIDDLE LAST		DOB* D D M M Y Y Y Y		
Permanent Account Number (PAN)*		Central KYC Number		☐ CKYC Proof attached (Mandatory)
First/Sole Applicant/Guardian				
Second Applicant				
Third Applicant				
Transferring funds from Scheme		Sundaram		Plan: ☐ Regular ☐ Direct
Option: Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth				
Transferring funds to Scheme		Sundaram		
Plan: ☐ Regular ☐ Direct		Option: IDCW ☐ Payout ☐ Reinvestment ☐ Growth		☐ Fixed Amount ₹ OR ☐ Capital Appreciation
STP Frequency ☐ Daily ☐ Weekly##		Any day from Monday to Friday ☐ Monthly ☐ Quarterly		## Investor has the option to choose any day of the week (Monday to Friday). In case the investor has not selected any day, the default day for processing shall be every Wednesday.
STP Date - Any Day (for Monthly / Quarterly frequency)		STP Period including Perpetual OR ☐ Till further notice#		Request Date
DD		From To Date		D D M M Y Y Y Y
		M M Y Y M M Y Y		
		0 1 1 2 2 0 9 9		

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN/Aadhar exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me / us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Stamp Duty: Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including reinvestment IDCW and switch-in) to the Unit holders would be reduced to that extent.

Signature		
Signature of First / Sole Applicant / Guardian	Signature of Second Applicant	Signature of Third Applicant
Request Date D D M M Y Y Y Y		

Acknowledgement		Request Date: D D M M Y Y Y Y	Time Stamp/Seal
Folio No	☐ Fixed Amount ₹ OR ☐ Capital Appreciation		
From:	To:		
Plan: ☐ Regular ☐ Direct ☐ Others	Plan: ☐ Regular ☐ Direct		
Options: IDCW ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth	Options: IDCW ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth		



Investors are requested to note the following terms and conditions with respect to availing STP facility:

1. Schemes available

As specified in respective Scheme Information Document

2. Date of transfer

Any day STP is eligible for cycle dates of 1st to 31st

i. For the dates from 1 to 28:

- STP shall be processed on the given the day if that day is a business day. In case the specified date is a non-business day, it shall be processed on the next business day.

ii. For the dates from 29 to 31:

- If the selected date is available in that month and it is a business day, any day STPs should be triggered for processing on that date. If the selected date is not a business day, any day STP shall be triggered for processing on the next business date.
- If the selected date itself is not available in that month, any day STP shall be triggered for processing on its previous business day.
- For example, if 29 is not available in the month of February, any day STP shall be triggered for processing on the last business day of February.
- For example, if 31 is not available in any of the months, any day STP shall be triggered for processing on 30th of that month, provided it is a business day, else last business day of the month shall be considered for any day STP processing.

3. Features of Capital Appreciation Option:

- Capital appreciation in the scheme can be withdrawn or transferred to the specified Destination Scheme at prescribed frequency.
- The Capital Appreciation option will be available only under the Growth option of the eligible schemes.
- Investor opting for STP with capital appreciation, will have to choose Growth as scheme option under destination scheme.
- The minimum investment or current value in the scheme should be Rs. 1,00,000/- on the day of application of STP with capital appreciation option.
- Minimum 6 installments required for registration of STP facility with capital appreciation option.
- STP registration will take minimum 5 Business Days'.
- The respective NAV on the date of registration of STP facility in the scheme will be considered as base NAV for the purpose of calculating the capital appreciation amount for the first installment.
- For subsequent installments, the NAV of the previous processed installment will become the base NAV for the purpose of calculating the capital appreciation amount.
- In case of any additional inflows (including SIP/STP installments) between two STP installments, the capital appreciation amount will be identified by the difference in NAV of creation of such additional units and the NAV of the scheme on the date of STP installment.
- In case the specified date of any installment falls on a non-business day for either of the schemes, the STP installment will be processed on the following business day of both the schemes.

4. Minimum amount of transfer

Minimum Any Day STP Amount/instalment: As stated in the respective Scheme Information Document (SID) / Key Information Memorandum (KIM) and satisfying the minimum criteria of source and target schemes.

5. Load Structure, please refer the respective Scheme information document (SID) / Key Information Memorandum (KIM) for more details.

6. Discontinuation of STP, for all frequencies

- a) When the outstanding balance in "transferor Scheme" does not cover any of the STP instalment amount, all outstanding units will be liquidated and STP will be effected for such outstanding balance (subject to the transfer amount satisfying the minimum investment criteria in the destination scheme) and STP will be terminated for subsequent instalments.
- b) STP will be terminated if all units from the Transferor Scheme are pledged or upon receipt of intimation of death of first/sole unit holder.
- c) Investors can also choose to terminate the STP by giving a written notice of at least 7 Business Days in advance to the Official Points of Transactions and accordingly, termination of STP shall be effected on receipt of valid request.

7. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.

8. Please refer to the respective scheme KIM / SID for more details.





SUNDARAM MUTUAL
— Sundaram Finance Group —

Systematic Withdrawal Plan

To be submitted **mandatorily**: 1. Your **FATCA** (Foreign Account Tax Compliance Act) Details (if not already submitted) and 2. Ultimate Beneficial Owner (**UBO**) information (for non-individuals only) which can be downloaded from our website.

Distributor's ARN & Name 361161	Sub-broker's ARN (Code)	Sub-broker Code (internal)	EUIIN* (Employee Unique Identification Number) E689889	Registered Investment Advisor (RIA) Code	Employee Code	For Office use only
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Name of First/Sole Applicant (Name as per PAN card)															Folio No	
FIRST MIDDLE LAST															DOB/Date of Incorporation*	
E-Mail*															Mobile*	

*Please tick the Family Code for the Mobile Number and Email ID provided

Email: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

Mobile: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

*Mandatory

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Annual Report ☐ Other Statutory Information

Name of Second Applicant (Name as per PAN card)

FIRST MIDDLE LAST															DOB*	
E-Mail*															Mobile*	

*Please tick the Family Code for the Mobile Number and Email ID provided

Email: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

Mobile: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

*Mandatory

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Annual Report ☐ Other Statutory Information

Name of Third Applicant (Name as per PAN card)

FIRST MIDDLE LAST															DOB*	
E-Mail*															Mobile*	

*Please tick the Family Code for the Mobile Number and Email ID provided

Email: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

Mobile: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

*Mandatory

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Annual Report ☐ Other Statutory Information

Permanent Account Number (PAN)*

Central KYC Number

☐ CKYC Proof attached (Mandatory)

First/Sole Applicant/Guardian																			
Second Applicant																			
Third Applicant																			

Scheme Name																			
Plan: ☐ Regular ☐ Direct										Option: Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth									
☐ Fixed Amount* ₹										☐ Capital Appreciation*					SWP Date - Any Day (for Monthly / Quarterly / Half-yearly / Annual frequency)				

*The minimum SWP amount is subject to minimum redemption criteria. \$The minimum investment or current value in the scheme should be Rs. 1,00,000/- on the day of application of SWP with capital appreciation option. Kindly refer to respective SID/KIM for information with regard to Minimum Amount/Installments.

SWP Frequency																			
☐ Monthly ☐ Quarterly ☐ Half-yearly ☐ Annual																			
SWP Period					SWP Starting					SWP Ending					OR ☐ Till further notice#				
					M M Y Y					M M Y Y					0 1 1 2 2 0 5 0				
Request Date																			
D D M M Y Y Y Y																			

(# The end date – 01/12/2050 as end date. If not specified by the investor.)

Turn overleaf for Declaration & Signature (Mandatory) → → →

Acknowledgement															Request Date: D D M M Y Y Y Y										Time Stamp/Seal									
Folio No															☐ Fixed Amount* Rs. OR ☐ Capital Appreciation*																			
Scheme Name:															SWP Frequency																			
Plan: ☐ Regular ☐ Direct ☐ Others															☐ Monthly ☐ Quarterly ☐ Half-yearly ☐ Annual																			
Options: IDCW ☐ Payout ☐ Re-Investment ☐ Transfer ☐ Growth																																		

Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI) • E-mail: customerservices@sundarammutual.com (NRI): nriservices@sundarammutual.com

www.sundarammutual.com

Sundaram Mutual Fund



Declaration: I/We • Having read and understood the contents of the Statement of Additional Information, Scheme Information Document of the Fund, the Key Information Memorandum and the Addenda issued till date, I/we hereby apply to the Trustees of Sundaram Mutual for registration of any of the aforesaid facility, and agree to abide by any Act, Rules, Regulations, Notifications, Directions, Guidelines, Orders or instructions issued by any Indian or foreign governmental or statutory or judicial or regulatory authorities/ agencies and the terms, conditions, rules and regulations of the Fund and the aforesaid facility(ies) as on the date of this application. I/We confirm that the funds invested legally belong to me/us and that I/we have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment and are not in contravention or evasion of any laws in force. I/We declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief and will promptly inform Sundaram Mutual / Sundaram Asset Management Company (AMC)/Registrar and Transfer Agent (RTA) about any changes thereto. I/ we hereby agree to provide any additional information/ documentation that may be required by Sundaram Mutual/AMC. I hereby agree and accept that the Mutual Funds, their authorised agents, representatives, distributors its sponsor, AMC, trustees, their employees, service providers, representatives ('the Authorised Parties') are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or as a result of this investment or activities performed by them on the basis of the information provided by me as also due to my not intimating / delay in intimating such changes. I authorize the Sundaram Mutual /AMC to disclose, share, remit in any form, mode or manner, all / any of the information provided by me to Authorised Parties including any of the Indian or foreign governmental or statutory or judicial authorities / agencies including Financial Intelligence unit-India (FIU-IND) without any obligation of advising me/us of the same. I/We hereby provide my consent for sharing/disclosing of my/our Aadhaar number including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA), KRA(s) & Central KYC Registry for the purpose of updating the same in the folios linked to my/our PAN.

Signature		
First / Sole Applicant / Guardian	Second Applicant	Third Applicant
Request Date DDMMYYYY		

